

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of:

	Takashi Nagase, et al.	Examiner:	Michael Aboagye
Serial No.:	10/785,152	Art Unit:	1725
Filed:	February 24, 2004	Confirmation No.:	8157
Title:	Soldering Iron Tip and Method of Manufacturing Same		

---

Attn: **Mail Stop 16**  
Director of the U.S. Patent and Trademark Office  
P.O. Box 1450  
Alexandria, VA 22313-1450

**REQUEST FOR REFUND**

Dear Sir:

Applicants respectfully request a refund of \$230.00 via a credit to Deposit Account No. 05-0150 for the following reasons.

Applicants erroneously paid the large entity fee of \$460 with the paper filed on November 30, 2007 (Amendment after Non-Final Rejection with a two-month extension request). The assignee of the present application was at the time of payment and is still now entitled to small entity status. Therefore, Applicants were required to pay only half of \$460 and should be given a refund of \$230.

Attached herewith is a copy of the Electronic Acknowledgement Receipt (see Exhibit A) indicating payment of \$460 under attorney docket number 47434.66. Also attached is a Deposit Account Statement showing the charge applied by the Office on December 3, 2007 to Deposit Account No. 05-0150 for the paper filed November 30, 2007 under attorney docket number 47434.66 (see Exhibit B, page 1), which also evidences payment of \$460. Applicants claimed small entity status at box 2 of the Utility Patent Application Transmittal filed February 24, 2004, of which an

annotated copy is enclosed for reference (Exhibit C). There has been no change from small entity to large entity since February 24, 2004.

Please do not hesitate to contact the undersigned attorney with any comments or questions.

Date: May 16, 2008

SQUIRE, SANDERS & DEMPSEY L.L.P.  
One Maritime Plaza, Suite 300  
San Francisco, CA 94111  
Telephone (415) 954-0241  
Facsimile (415) 393-9887

Respectfully submitted,

/Norman Morales/

Norman Morales  
Attorney for Applicant  
Reg. No. 55,436

**REQUEST FOR REFUND**

**EXHIBIT A**

## Electronic Acknowledgement Receipt

<b>EFS ID:</b>	2533143
<b>Application Number:</b>	10785152
<b>International Application Number:</b>	
<b>Confirmation Number:</b>	8157
<b>Title of Invention:</b>	Soldering iron tip and method of manufacturing same
<b>First Named Inventor/Applicant Name:</b>	Takashi Nagase
<b>Customer Number:</b>	44955
<b>Filer:</b>	Norman L. Morales
<b>Filer Authorized By:</b>	
<b>Attorney Docket Number:</b>	47434.66
<b>Receipt Date:</b>	30-NOV-2007
<b>Filing Date:</b>	24-FEB-2004
<b>Time Stamp:</b>	18:30:34
<b>Application Type:</b>	Utility under 35 USC 111(a)

### Payment information:

Submitted with Payment	yes
Payment Type	Deposit Account
Payment was successfully received in RAM	\$460
RAM confirmation Number	3535
Deposit Account	050150
Authorized User	

### File Listing:

Document Number	Document Description	File Name	File Size(Bytes) /Message Digest	Multi Part /.zip	Pages (if appl.)
-----------------	----------------------	-----------	-------------------------------------	------------------	------------------

1	Amendment - After Non-Final Rejection	47434_66response.pdf	135836	no	17
			1597b6e94b1c0a73bd51c28fd436399 0a75075d		

Warnings:

Information:

2	Drawings-only black and white line drawings	47434_66draw.pdf	26423	no	1
			d6d34a782295257ac35a8b42cd8d893 31c73815		

Warnings:

Information:

3	Fee Worksheet (PTO-06)	fee-info.pdf	8150	no	2
			0e84506e628c0d33729559c1899c039 87b6db90		

Warnings:

Information:

Total Files Size (in bytes):

170409

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

#### New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

#### National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

#### New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

**REQUEST FOR REFUND**

**EXHIBIT B**



**United States  
Patent and  
Trademark Office**



**Deposit Account Statement**

Requested Statement Month: December 2007  
 Deposit Account Number: 050150  
 Name: SQUIRE, SANDERS AND DEMPSEY L.L.P.  
 Attention: MARC A SOCKOL  
 Street Address 1: 600 HANSEN WAY  
 Street Address 2:  
 City: PALO ALTO  
 State: CA  
 Zip: 94304-1043  
 Country: UNITED STATES

DATE	SEQ	POSTING REF TXT	ATTORNEY DOCKET NBR	FEE CODE	AMT	BAL
12/03	6639	10785152	47434.66	1252	\$460.00	\$52,562.00
12/03	15	11938256	64676.60	4011	-\$75.00	\$52,637.00
12/03	16	11938256	64676.60	2111	-\$255.00	\$52,892.00
12/03	17	11938256	64676.60	2311	-\$105.00	\$52,997.00
12/03	18	11938256	64676.60	2201	-\$105.00	\$53,102.00
12/03	19	11938256	64676.60	1011	\$310.00	\$52,792.00
12/03	22	11938256	64676.60	1201	\$210.00	\$52,582.00
12/03	20	11938256	64676.60	1111	\$510.00	\$52,072.00
12/03	21	11938256	64676.60	1311	\$210.00	\$51,862.00
12/03	10134	11164104	61994.00032	1252	\$460.00	\$51,402.00
12/03	58	PAYMENT		9203	-\$17,430.00	\$68,832.00
12/04	5381	11781181	89035.308	1051	\$130.00	\$68,702.00
12/04	5382	11781181	89035.308	1252	\$460.00	\$68,242.00
12/04	5535	10602487	50623.305	1501	\$1,440.00	\$66,802.00
12/04	5536	10602487	50623.305	1504	\$300.00	\$66,502.00
12/04	6959	11841515		8021	\$40.00	\$66,462.00
12/05	4454	11233590	61994.00050	1801	\$810.00	\$65,652.00
12/06	4144	11951072	88644.36	1011	\$310.00	\$65,342.00
12/06	4145	11951072	88644.36	1111	\$510.00	\$64,832.00
12/06	4146	11951072	88644.36	1311	\$210.00	\$64,622.00
12/06	7247	11951327	88644.33	1311	\$210.00	\$64,412.00
12/06	7248	11951327	88644.33	1201	\$210.00	\$64,202.00
12/06	7245	11951327	88644.33	1011	\$310.00	\$63,892.00
12/06	7246	11951327	88644.33	1111	\$510.00	\$63,382.00
12/07	1307	11951327		8021	\$40.00	\$63,342.00
12/10	13968	61012551	101640.2	2005	\$105.00	\$63,237.00
12/11	5213	10816231	47434.57	2501	\$720.00	\$62,517.00
12/11	5214	10816231	47434.57	1504	\$300.00	\$62,217.00
12/11	8177	10141452	HAL 215	1251	\$120.00	\$62,097.00
12/12	8928	10700984	36701.00072	1501	\$1,440.00	\$60,657.00
12/12	8931	10700984	36701.00072	1504	\$300.00	\$60,357.00
12/12	8	11110342	61994.00024	1202	\$50.00	\$60,307.00



12/14	700	11951072		8021	\$40.00	\$60,267.00
12/14	3408	11956005	88644.37	1011	\$310.00	\$59,957.00
12/14	3409	11956005	88644.37	1111	\$510.00	\$59,447.00
12/14	3410	11956005	88644.37	1311	\$210.00	\$59,237.00
12/14	3411	11956005	88644.37	1202	\$250.00	\$58,987.00
12/14	3412	11956005	88644.37	1201	\$210.00	\$58,777.00
12/14	5725	11956005		8021	\$40.00	\$58,737.00
12/17	6287	11957291	27345.7	4011	\$75.00	\$58,662.00
12/17	6288	11957291	27345.7	2111	\$255.00	\$58,407.00
12/17	6289	11957291	27345.7	2311	\$105.00	\$58,302.00
12/18	7960	11958326	86430.1	4011	\$75.00	\$58,227.00
12/18	7961	11958326	86430.1	2111	\$255.00	\$57,972.00
12/18	7962	11958326	86430.1	2311	\$105.00	\$57,867.00
12/18	7963	11958326	86430.1	2202	\$300.00	\$57,567.00
12/19	1	11574727	87914.00003	2616	-\$180.00	\$57,747.00
12/19	7036	11959200	88644.38	1011	\$310.00	\$57,437.00
12/19	7037	11959200	88644.38	1111	\$510.00	\$56,927.00
12/19	7038	11959200	88644.38	1311	\$210.00	\$56,717.00
12/19	8557	11959200		8021	\$40.00	\$56,677.00
12/20	4	10631228	50623.251	1202	\$50.00	\$56,627.00
12/20	7681	10711518	61994.00017	1251	\$120.00	\$56,507.00
12/20	8181	11960594	61994.61	1011	\$310.00	\$56,197.00
12/20	8183	11960594	61994.61	1311	\$210.00	\$55,987.00
12/20	8182	11960594	61994.61	1111	\$510.00	\$55,477.00
12/20	8256	10912025	50623.437	1501	\$1,440.00	\$54,037.00
12/20	8257	10912025	50623.437	1504	\$300.00	\$53,737.00

START	SUM OF	SUM OF	END
BALANCE	CHARGES	REPLENISH	BALANCE
\$53,022.00	\$17,435.00	\$18,150.00	\$53,737.00

[Need Help?](#) | [USPTO Home Page](#) | [Finance Online Shopping Page](#)



**REQUEST FOR REFUND**

**EXHIBIT C**

# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 47434-00066

First Inventor Takashi Nagase

Title SOLDERING IRON TIP AND METHOD OF  
MANUFACTURING SAME

Express Mail Label No. EV410063289US

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)
2. ☒ Applicant claims small entity status.  
See 37 CFR 1.27.
3. ☒ Specification [Total Pages 53]  
(preferred arrangement set forth below)
- Descriptive title of the invention
  - Cross Reference to Related Applications
  - Statement Regarding Field sponsored R & D
  - Reference to sequence listing, a table, or a computer program listing appendix
  - Background of the invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 15]
5. Oath or Declaration [Total Pages 3]
- a. ☐ Newly executed (original or copy)
- b. ☒ Copy from a prior application (37 CFR 1.63 (d))  
(for continuation/divisional with Box 18 completed)
- c. ☐ DELETION OF INVENTOR(S)  
Signed statement attached deleting inventor(s)  
named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76

ADDRESS TO: Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
- a. ☐ Computer Readable Form (CRF)
- b. Specification Sequence Listing on:
- i. ☐ CD-ROM or CD-R (2 copies); or
- ii. ☐ paper
- c. ☐ Statements verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☒ 37 CFR 3.73(b) Statement of Attorney (when there is an assignee) ☒ Power of Attorney
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
13. ☒ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)
16. ☐ Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17. ☒ Other: Application Title Page; Certificate of Mailing

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☒ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No. 10, 719,001

Prior application information:

Examiner: To be assigned

Group Art Unit: To be assigned

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 19. CORRESPONDENCE ADDRESS

☐ Customer Number or Bar Code Label (Insert Customer No. or Attach bar code label here) or ☒ Correspondence address below

Name Douglas N. Larson

Squire, Sanders & Dempsey, L.L.P.

Address 801 S. Figueroa Street, 14th Floor

City Los Angeles State CA Zip Code 90017

Country United States Telephone (213) 689-5142 Fax (213) 623-4851

Name (Print/Type) Douglas N. Larson Registration No. (Attorney/Agent) 29,401

Signature [Signature] Date February 24, 2004

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.